(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau





(43) International Publication Date 23 September 2004 (23.09.2004)

PCT

(10) International Publication Number WO 2004/081533 A3

- (51) International Patent Classification⁷: A61K 38/16, 38/17, C12N 15/866, 15/63, 15/64, 5/10, C07K 14/435, C12Q 1/68, 1/70
- (21) International Application Number:

PCT/US2004/007434

- (22) International Filing Date: 10 March 2004 (10.03.2004)
- (25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 60/453,800

10 March 2003 (10.03.2003) US

- (71) Applicant (for all designated States except US): THE REGENTS OF THE UNIVERSITY OF CALIFORNIA [US/US]; 1111 Franklin Street, 12th Floor, Oakland, CA 94607 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): ROME, Leonard, H. [US/US]; 18141 Rancho Street, Tarzana, CA 91356 (US). KICKHOEFER, Valerie, A. [US/US]; 3918 Scadlock Lane, Sherman Oaks, CA 91403 (US). RAVAL-FER-NANDES, Sujna [US/US]; 3445 Mentone Avenue, #211, Los Angeles, CA 90034 (US). STEWART, Phoebe, L. [US/US]; 9442 Norwood Drive, Brentwood, TN 37027 (US).
- (74) Agents: FARAH, David, A. et al.; Sheldon & Mak PC, 225 South Lake Avenue, 9th Floor, Pasadena, CA 91101 (US).

- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments
- (88) Date of publication of the international search report: 6 May 2005

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: VAULT AND VAULT-LIKE CARRIER MOLECULES

(57) Abstract: A method of using vaults as carrier molecules to deliver one or more than one substance to an organism, or to a specific tissue or to specific cells, or to an environmental medium. A vault-like particle. A method of preventing damage by one or more than one substance to an organism, to a specific tissue, to specific cells, or to an environmental medium, by sequestering the one or more than one substance within a vault-like particle. A method of delivering one or more than one substance or a sensor to an organism, to a specific tissue, to specific cells, or to an environmental medium. According to another embodiment of the present invention, there is provided a method of making vault-like particles, and making vault-like particles comprising one or more than one substance, or one or more than one sensor.





PATENT COOPERATION TREATY

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's o r agent' s file reference 14399-1PCT	FOR FURTHER ACTION as well a	see Form PCT/ISA/220 s, where applicable, item 5 below.				
International application No. PCT/US04/07434	International filing date (day/month/yea 10 March 2004 (10.03.2004)	(Earliest) Priority Date (day/month/year) 10 March 2003 (10,03.2003)				
Applicant REGENTS OF THE UNIVERSITY OF CALIFORNIA						
This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau. This international search report consists of a total of sheets. It is also accompanied by a copy of each prior art document cited in this report.						
Basis of the Report a. With regard to the language, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.						
The international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).						
b. With regard to any nucleoti	b. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, see Box No. I.					
2. Certain claims were found	unsearchable (See Box No. II)					
3. Unity of invention is lackin 4. With regard to the title,	g (See Box No. III)					
4. With regard to the title, the text is approved as subm	itted by the applicant					
	by this Authority to read as follows:					
	c,					
	•					
5. With regard to the abstract,						
the text is approved as submi	itted by the applicant.					
		pority as it appears in Box No. IV. The applicant search report, submit comments to this Authority.				
6. With regard to the drawings,a. the figure of the drawings to be published with the abstract is Figure No						
as suggested by the	applicant.					
as selected by this A	uthority, because the applicant failed to s	suggest a figure.				
as selected by this A	uthority, because this figure better character	cterizes the invention.				
b. none of the figures is to be p	ublished with the abstract.					

Form PCT/ISA/210 (first sheet) (January 2004)

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US04/07434

ROX NO	. 1 Nucleotide and/or amino acid sequence(s) (Continuation of item 1.b of the first sneet)	
	regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed tion, the international search was carried out on the basis of: type of material	
	a sequence listing	
	table(s) related to the sequence listing	
b.	format of material	
	in written format	
	in computer readable form	
c.	time of filing/furnishing	
	contained in the international application as filed	
	filed together with the international application in computer readable form	
K-2	furnished subsequently to this Authority for the purposes of search	
2.	In addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in	
	the application as filed or does not go beyond the application as filed, as appropriate, were furnished.	
.3.	Additional comments:	
	\cdot	
	\cdot	

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US04/07434

		201/000				
A. CLASSIFICATION OF SUBJECT MATTER IPC(7) : A61K 38/16, 38/17; C12N 15/866, 15/63, 15/64, 5/10; C07K 14/435; C12Q 1/68, 1/70 US CL : 435/320.1, 455, 456, 69.1, 5, 6, 7.1; 514/2, 44; 530/350 According to International Patent Classification (IPC) or to both national classification and IPC						
	DS SEARCHED					
Minimum documentation searched (classification system followed by classification symbols) U.S.: 435/320.1, 455, 456, 69.1, 5, 6, 7.1; 514/2, 44; 530/350						
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched						
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) Please See Continuation Sheet						
C. DOCI	UMENTS CONSIDERED TO BE RELEVANT		•			
Category *	Citation of document, with indication, where a		Relevant to claim No.			
X	SUPRENANT, K. A. Vault Ribonucleoprotein Particles: Sarcophagi, Gondolas, or Safety Deposit Boxes. Biochemistry. 10 December 2002, Vol. 41, No. 49, pages 14447-14454, especially pages 14449-14450.					
х	vanZon et al. Structural Domains of Vault Proteins: A Role for the Coiled Domain in Vault Assembly. Biochemical and Biophysical Research Communications. 2002, Vol. 291, No. 3, pages 535-541, especially pages 535 and 538.					
A,P US 6,555,347 B1 (ROME et al.) 29 April 2003 (29.04.2003), column 1, columns 2-3.		04.2003), column 1, lines 45-55,	1-66			
A,P	US 6,599,728 B2 (MORIN et al.) 29 July 2003 (29. column 4, lines 44-64.	07.2003), column 2, lines 25-61,	1-66			
	,					
Further	documents are listed in the continuation of Box C.	See patent family annex.				
• Sı	pecial categories of cited documents:	"T" later document published after the inter				
"A" document defining the general state of the art which is not considered to be of particular relevance		date and not in conflict with the applica principle or theory underlying the inves	ntion			
"E" earlier app	plication or patent published on or after the international filing date	"X" document of particular relevance; the c considered novel or cannot be consider when the document is taken alone				
	which may throw doubts on priority claim(s) or which is cited to he publication date of another citation or other special reason (as	"Y" document of particular relevance; the c considered to involve an inventive step combined with one or more other such	when the document is documents, such combination			
"O" document	referring to an oral disclosure, use, exhibition or other means	being obvious to a person skilled in the	ап			
"P" document published prior to the international filing date but later than the priority date claimed		"&" document member of the same patent for	amily			
Date of the ac	tual completion of the international search	Date of mailing of the international searc	te of mailing of the international search report			
07 February 2005 (07.02.2005)		0 1 MAR 2005				
Name and mailing address of the ISA/US		Authorized officer // ana / Nato				
Mail Stop PCT, Attn: ISA/US Commissioner for Patents		David Guzo				
P.O. Box 1450		Telephone No. (703) 308-0196				
	andria, Virginia 22313-1450 (703) 305-3230					

Form PCT/ISA/210 (second sheet) (January 2004)

INTERNATIONAL SEARCH REPORT	International application No. PCT/US04/07434
·	
·	
·	
Continuation of B. FIELDS SEARCHED Item 3:	
WEST, Dialog, Biotech, Medline, Biosis, Biosci Search terms: vault, carriers, MVP, VPARP, TEP1, major vault protein, poly ADP NO:3	-ribosyl polymerase, bacmid, baculovirus, SEQ ID
	i

Form PCT/ISA/210 (extra sheet) (January 2004)